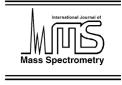


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# Electron impact ionization studies with aeronomic molecules

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#### Abstract

Total ionization cross-sections for electrons in the energy range from threshold to  $2000\,\text{eV}$  are calculated for the molecules  $O_2$ ,  $N_2O$ ,  $NO_2$ , ClO, OClO,  $Cl_2O$ ,  $SF_5CF_3$ ,  $NO_3$  and  $N_2O_5$ . The total inelastic cross-sections determined in the spherical complex potential formalism are used to calculate the total ionization cross-sections. The present total ionization cross-sections are found to be consistent with experimental measurements, where they exist.

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Keywords: Aeronomic molecules; Total ionization cross-section; Spherical complex potential

## 1. Introduction

In the Earth's upper atmosphere solar ultraviolet (UV) radiation is absorbed by its constituent molecules leading to ionization liberating free electrons. This "ionized" region of the atmosphere is therefore a weak plasma and is known as the *ionosphere*. At altitudes above 60 km the density of these free electrons is sufficient to affect the propagation of electromagnetic waves. Longer wavelength radio signals can be reflected off the ionosphere allowing radio communications to be propagated "over the horizon". Shorter wavelength radio signals pass through the ionosphere but are nonetheless affected by it. These shorter wavelengths are used by satellites for imaging the earth, and the ionosphere affects the received images in a manner similar to way the atmospheric absorption of visible wavelengths leads to the observed "twinkling" of the stars.

Atmospheric ionization is also produced by high energy particles emitted from the Sun and the cosmic background—so-called "cosmic rays". Although the amount of ionization produced by such particles is generally much less than that produced by solar radiation at night (when there is very little or no solar illumination), however, at times of high solar activity they may be important and, due to their high energy, are able to penetrate deeper into the atmosphere than solar

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UV. Indeed it has been speculated that such particles may play a role in nucleation of water droplets that lead to cloud formation, hence providing a link between cloud formation (and hence global climate cycles) and the solar sunspot cycle [1,2] while more recently a link between ozone depletion and cosmic radiation has also been suggested [2].

Therefore, it is important to be able to determine the electron impact ionization cross-sections for electron interactions with aeronomic species. The ionization cross-sections of the common atmospheric species, such as oxygen, nitrogen and water, are of course, well established but the ionization cross-sections of trace species are often unknown. In this paper, we report the results of a simple formalism to estimate electron ionization cross-sections from several aeronomic species for which there currently exists little or no experimental data, including several key radical species, for which experiments are impractical. These should not be regarded as definitive but provide an estimate that should encourage the development of more rigorous theoretical calculations and, where practical, experimental measurement.

## 2. Methodology

A spherical complex potential formalism has been used to generate the total inelastic cross-section for these molecules. A semi-empirical approach [3,4] called the "Complex Scattering Potential-Ionization Contribution" (CSP-*ic*) method

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is then used to derive the total ionization cross-section  $Q_{\text{ion}}$  from the calculated  $Q_{\text{inel}}$ . The total inelastic cross-section  $Q_{\text{inel}}$  may be written as,

$$Q_{\text{inel}}(E_i) = Q_{\text{ion}}(E_i) + \Sigma Q_{\text{exc}}(E_i)$$
 (1)

where the first term is the total cross-section for all allowed ionization processes and the second term is the sum over total excitation cross-sections for all accessible electronic transitions. The second term arises mainly from the low-lying dipole allowed transitions for which the cross-section decreases rapidly at higher energies. This becomes less important than the first term at energies well above the ionization threshold [5,6]. Hence, we have,

$$Q_{\text{inel}}(E_i) \ge Q_{\text{ion}}(E_i)$$
 (2)

The complex scattering potential is generated from the spherically averaged charge densities of the target molecules, as described in our CSP-ic approach [3,4]. The single-center target charge density is obtained by a linear combination of constituent atomic charge densities, renormalized to account for covalent bonding and the total number of electrons present in the molecule [3]. In the case of N<sub>2</sub>O<sub>5</sub> and SF<sub>5</sub>CF<sub>3</sub>, two centers were assumed. For N<sub>2</sub>O<sub>5</sub> we have chosen one at  $-NO_2$  and other at  $-NO_3$  as the N-O bond distance (1.53 Å) is larger than N=O bond distance (1.2 Å) [7]. In SF<sub>5</sub>CF<sub>3</sub> the S-C bond length (1.96 Å) [8] is the largest and so we considered one center at S and other at C. These centers are then added together and renormalized as above. Using this molecular charge density, we construct the real part of the complex potential [4]  $V_{\text{opt}} = V_{\text{R}} + iV_{I}$ , which is the sum of static  $(V_{st})$ , exchange  $(V_{ex})$  and polarization  $(V_p)$  potentials,

$$V_{\rm R} = V_{\rm st}(r) + V_{\rm ex}(r, E_i) + V_{\rm p}(r, E_i)$$
 (3)

The imaginary part  $(V_I)$ , accounts for the total loss of scattered flux into all the allowed channels of electronic excitation and ionization. We have used a model potential, given by Staszewska et al. [9], which is quasi-free, Pauli-blocking, dynamic absorption potential  $(V_{abs})$  given in au, as

$$V_{\text{abs}}(r, E_i) - \frac{1}{2}\rho(r)\nu_{\text{loc}}\sigma_{\text{ee}}$$

$$= -\rho(r)\left(\frac{T_{\text{loc}}}{2}\right)^{1/2}\left(\frac{8\pi}{10k_{\text{F}}^3 E_i}\right)\theta(p^2 - k_{\text{F}}^2 - 2\Delta)$$

$$\times (A_1 + A_2 + A_3) \tag{4}$$

Here,  $\nu_{loc}$  is the local speed of the incident electron, and  $\sigma_{ee}$  denotes the average total cross-section of the binary collision of the incident electron with a target electron. The local kinetic energy of the incident electron is obtained from,

$$T_{\text{loc}} = E_i - V_{\text{R}} = E_i - (V_{\text{st}} + V_{\text{ex}} + V_{\text{p}})$$
 (5)

In Eq. (4),  $p^2 = 2E_i$ ,  $k_{\rm F}$  is the Fermi wave vector and  $\Delta$  is an energy parameter.  $\theta(x)$  is the Heaviside step-function, such that  $\theta(x) = 1$  for x > 0, and is zero otherwise. The dynamic functions  $A_1$ ,  $A_2$  and  $A_3$  which are given in Staszewska

et al. [9] depend differently on  $\rho(r)$ , I,  $\Delta$  and  $E_i$ . The parameter  $\Delta$  assumed to be fixed in the original model determines a threshold below which  $V_{\rm abs} = 0$ , and the ionization or excitation is prevented energetically. Here, we have modified  $\Delta$  such that at impact energies close to the (vertical) ionization threshold I, the excitations to the discrete states also take place, but as  $E_i$  increases valence ionization becomes dominant, together with the possibility of ionization of the inner electronic shells. In the range of intermediate energies the  $V_{\rm abs}$  shows a rather excessive loss of flux into the inelastic channels. The potential also penetrates in the region of inner electronic shells, which are of course harder to be excited or ionized. In order to rectify this behavior of this potential, we choose  $\Delta \approx I$  for low  $E_i$  and  $\Delta > I$  at  $E_i$  near the position of the peak of  $Q_{\text{inel}}$ . Thus, our modification [3,4] expresses  $\Delta$  as a slowly varying function of  $E_i$  around I.

After the formulation of the complex optical potential, we solve the Schrödinger equation with the modified  $V_{\rm abs}$ , using the appropriate boundary conditions. The real and imaginary part of the phase shifts  $\delta_l = {\rm Re} \delta_l + i \, {\rm Im} \delta_l$  are generated for various partial waves l. Thus, we get the S-matrix elements,

$$S_l = \exp(2i\delta_l) \tag{6}$$

which can be rewritten as,

$$S_l = \exp(2i\delta_l) = \eta_l \exp(2i\text{Re}\delta_l) \tag{7}$$

where the quantity  $\eta_l = \exp(-2\text{Im}\delta_l)$  is called the "inelasticity" or "absorption" factor [10]. The term absorption being understood in the sense that particle disappears from incident channel. Thus, we obtain the total inelastic cross-section is given by [10],

$$Q_{\text{inel}} = \frac{\pi}{k^2} \sum_{l=0}^{\infty} (2l+1)(1-\eta_l^2)$$
 (8)

As the cross-section of interest in many applications is  $Q_{\text{ion}}$ , we must extract this from  $Q_{\text{inel}}$ . There is no rigorous way to get the former from the latter. Hence, in order to determine  $Q_{\text{ion}}$  from our calculated  $Q_{\text{inel}}$ , we define the following quantity for  $E_i \geq I$ .

$$R(E_i) = \frac{Q_{\text{ion}}(E_i)}{Q_{\text{inel}}(E_i)}, \quad \text{such that } 0 \le R \lesssim 1$$
 (9)

We require that R = 0 when  $E_i \le I$ . For a number of stable molecules, like H<sub>2</sub>O, CH<sub>4</sub>, SiH<sub>4</sub>, etc., for which the experimental cross-sections  $Q_{\text{ion}}$  are known accurately [11,12], the ratio R rises steadily as the energy increases above the threshold, and it is found that

$$R(E_i) \begin{cases} = R_{\rm p}, & \text{at } E_i = E_{\rm p} \\ \cong 1, & \text{for } E_i \gg E_{\rm p} \end{cases}$$
 (10)

where  $E_p$  stands for the incident energy at which the calculated  $Q_{\rm inel}$  attains its maximum.  $R_p$  stands for the value of R at  $E_i = E_p$ , and as per our discussion in [3,4] we choose  $R_p \cong 0.7$ . This behavior is attributed to the faster fall of the second term  $\Sigma Q_{\rm exc}$  in Eq. (1). This choice follows from

the general observation that at energies close to the peak of the ionization cross-section the contribution of the molecular  $Q_{\rm ion}$  is about 70–80% of the total inelastic cross-sections  $Q_{\rm inel}$ . The higher limit of 80% is observed only in the targets having very high ionization potentials, like Ne (IP = 21.56 eV) [3]. The molecules discussed in this paper, however, have the ionization potential ranging from 10.35 to 12.89 eV, so we have selected the lower limit of 70%. Such an approximation may introduce an uncertainty of some 5% in general as  $R_{\rm p}$  might actually vary from  $\sim$ 0.7 to 0.74.

For calculating the  $Q_{\text{ion}}$  from  $Q_{\text{inel}}$  we need R as a continuous function of energy  $E_i \ge I$ , hence we represent [3,4] the ratio R in the following manner.

$$R(E_i) = 1 - f(U);$$

$$R(E_i) = 1 - C_1 \left[ \frac{C_2}{U+a} + \frac{\ln(U)}{U} \right]$$
(11)

Here, U is the dimensionless variable defined through,  $U = E_i/I$ .

The functional form of f(U) in Eq. (11) is adopted from the fact that, as  $E_i$  increases above I, the ratio R increases and approaches unity, since the ionization contribution rises and the discrete excitation term in Eq. (1) decreases. The discrete excitation cross-sections, dominated by dipole transitions, fall off as ln(U)/U at high energies. Accordingly, the decrease of the function f(U) must also be proportional to ln(U)/U in the high range of energy. However, the two term representation of f(U) given in Eq. (11) is more appropriate since the first term in the square bracket ensures a better energy dependence at low and intermediate  $E_i$ . The Eq. (11) involves dimensionless parameters  $C_1$ ,  $C_2$  and a, that reflect the target properties. To determine these parameters, we note the following three conditions on the ratio R. (i) It is zero at and below the ionization threshold. (ii) It behaves in accordance with Eq. (10) at the peak position  $E_{\rm p}$ , and (iii) it approaches 1 asymptotically for  $E_i$  sufficiently larger than  $E_p$ . These parameters are tabulated in Tables 1 and 2 for the present molecules. The Eqs. (9)-(11) define the present CSP-ic approach [3,4].

For more complex targets it is also possible to adopt additivity rules that sum ionization cross-sections for con-

Table 1 Ionization potential and molecular geometries of some of molecules  $\operatorname{studied}^a$ 

Target	IP (eV)	Bond distance (Å)	Angle (°)
O [25]	13.62		
Cl [25]	12.97		
$O_2$ [25]	12.07	1.207	
NO <sub>2</sub> [25]	11.23	1.193	ONO = 134.1
N <sub>2</sub> O [25]	12.89	$N \equiv N = 1.124;$	ONO = 134.1
		N=O = 1.184	
ClO [26]	11.20	1.576	
OCIO [27]	10.35	1.470	OCIO = 117.4
Cl <sub>2</sub> O	10.91	1.696	ClOCl = 110.9

 $<sup>^{</sup>a}$  See Ref. [7,8,24] for SF<sub>5</sub>CF<sub>3</sub>, N<sub>2</sub>O<sub>5</sub> and NO<sub>3</sub>, respectively.

Table 2 Parameters used in Eq. (11) for the molecules studied

Target	Parameters			
	a	C <sub>1</sub>	$\overline{C_2}$	
$\overline{\mathrm{O}_2}$	8.495	-0.931	-10.202	
$N_2O$	12.649	-1.371	-9.959	
$NO_2$	17.380	-1.630	-11.277	
ClO	9.222	-1.092	-9.360	
OCIO	6.330	-0.674	-10.873	
Cl <sub>2</sub> O	7.463	-0.588	-14.389	
SF <sub>5</sub> CF <sub>3</sub>	17.632	-1.638	-11.372	
$NO_3$	12.898	-1.380	-10.074	
$N_2O_5$	13.041	-1.372	-10.234	

stituent atoms/molecular groups of the larger molecule. Since several of the targets we investigated have no experimental or theoretical data we have also used a modified additivity rule (MAR) to explore whether it is possible to evaluate ionization cross-sections for complex targets from measurements/calculations of small molecular components. This method, if shown to be reliable, might allow us to "guestimate" ionization cross-sections for biological molecules [3]. Our MAR method may be described as follows. The individual atomic inelastic cross-sections are calculated after adjusting the ionization potentials of the constituent atoms to allow for the ionization potential of the molecule. These cross-sections are then added together to get the MAR-Q<sub>inel</sub>. Then, by using the ratio as described above in Eq. (11), we estimate the MAR- $Q_{\text{ion}}$ . The geometry (bond length, etc.) will also play an important role in the evaluation cross-section, as the charge density is extended in case of a molecule (see below).

## 3. Results and discussions

This theoretical approach (CSP-ic) was used to estimate values of  $Q_{\text{ion}}$  for various atmospheric gases. To test the validity (and accuracy) of our approximations we calculated  $Q_{\text{ion}}$  for several targets for which there exists a reasonable experimental data set and compare our results with two other approximate formalisms, Binary-Encounter-Bethe (BEB) [13] and Deutch-Märk (DM) methods [14,15]. The BEB model [13] combines the Mott cross-section with the high incident energy behavior of the Bethe cross-section [16]. The theory uses a simple analytic formula for the ionization cross-section per atomic/molecular orbital. The total ionization cross-section for a target is obtained by summing these orbital cross-sections. A different approach called the DM formalism [14,15] makes use of the static target properties, like electronic sub-shell radii, binding energies and a dynamic, i.e., energy-dependent function to obtain the shell-wise contribution to  $Q_{\text{ion}}$ .

In Fig. 1, our present CSP-ic results for  $O_2$  are compared with BEB theory [13], DM formalism [15] and experiments of Krishnakumar and Srivastava [17] and Rapp and Englander-Golden [18]. The present results and BEB

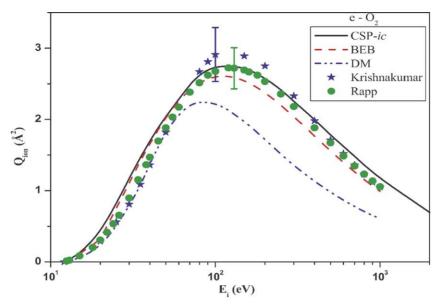


Fig. 1. Total ionization cross-sections for e–O<sub>2</sub> scattering. (—) Complex Scattering Potential-Ionization Contribution, CSP-*ic* (present method). (−−−) Binary-Encounter-Bethe (BEB) [13]. (−⋯−) Deutch-Märk, DM [15]. (★) Krishnakumar and Srivastava [17]. (◆) Rapp and Englander-Golden [18].

theory are slightly above the experiments near the threshold region; however, the present results seem to be in good agreement with the experiments at all other energies, including the peak. In contrast, the BEB theory seems to underestimate the experiments above 70 eV and the results of the DM formalism lies below all the experimental results and the present calculations.

In Fig. 2, we present the total ionization cross-sections of  $N_2O$  along with BEB theory [13] and experiments of Rapp and Englander-Golden [18] and Iga et al. [19]. We have also plotted the total (single) ionization cross-sections measurements of Lopez et al. [20]. Both theories lie within the experimental uncertainty in the experiments. The BEB

method seems to overestimate the cross-section below the peak of the ionization cross-section. We have not plotted here the modified additive theoretical values of Deutsch et al. [21] but these are slightly higher than the present values as well as experiment.

The results for  $NO_2$  are presented in the Fig. 3 along with the experiments of Lindsay et al. [22] and Lukic et al. [23]. The total (single) ionization cross-sections measurements of Lopez et al. [20] seem to underestimate the values of the peak cross-section. This underestimation may be ascribed to the fact that only the single ionization is measured by Lopez et al. and therefore would be expected to be lower that a calculated total ionization cross-section. Nevertheless,

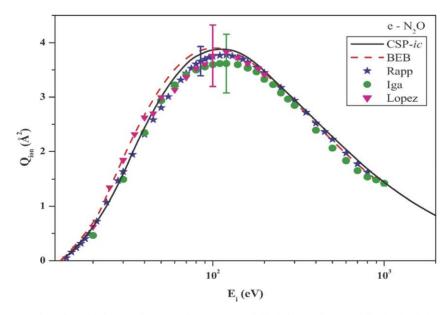


Fig. 2. Total ionization cross-sections for  $e-N_2O$  scattering. (—) CSP-ic. (——) BEB [13]. ( $\star$ ) Rapp and Englander-Golden [18]. ( $\bullet$ ) Iga et al. [19]. ( $\blacktriangledown$ ) Lopez et al. [20].

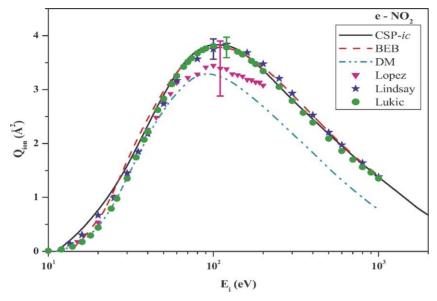


Fig. 3. Total ionization cross-sections for e-NO<sub>2</sub> scattering. (—) CSP-ic. (---) BEB [13]. (-··-) DM [15]. (▼) Lopez et al. [20]. (★) Lindsay et al. [22]. (●) Lukic et al. [23].

the present results are still within the experimental uncertainties of the measurements. The BEB theory [13] and DM formalism [15] are also compared with present results. Both experiments are in good agreement with present results and also with the BEB theory while DM formalism seems to underestimate the cross-section above 50 eV. In contrast, the modified additive results for NO<sub>2</sub> by Deutsch et al. [21] (not shown here) overestimates the cross-section.

Figs. 1–3 suggest that the present methodology (CSP-ic) is capable of providing reasonable estimates of  $Q_{\rm ion}$  for simple molecules. Therefore, we have used this method to estimate cross-sections for several other aeronomic species for which there is currently little or no data. The first molecules we have studied are the three chlorine oxides, ClO, OClO

and  $\text{Cl}_2\text{O}$ , which play a key role in stratospheric ozone depletion, the ClO radical as an ozone scavenger and OClO and  $\text{Cl}_2\text{O}$  by acting as sinks for chlorine release by photolysis of CFC compounds. Fig. 4 shows  $Q_{\text{ion}}$  for the ClO radical. No previous data exist for this target.

The MAR- $Q_{\rm ion}$  would seem to overestimate the cross-sections for ClO below the peak after which it falls faster than the present results of ClO. This is because in the MAR we have considered only the ionization potential of the molecule. However, the geometry (bond length, etc.) may also play an important role in the evaluation of cross-section, as the charge density is extended in case of a molecule.

In Fig. 5, the total ionization cross-sections for both OClO and Cl<sub>2</sub>O are plotted. The cross-section for Cl<sub>2</sub>O is higher

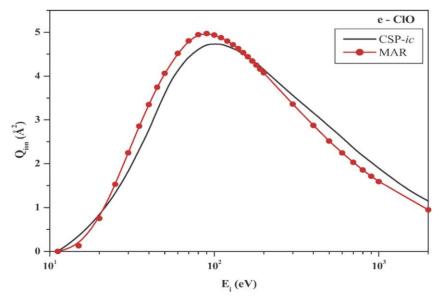


Fig. 4. Total ionization cross-sections for e-ClO scattering. (—) CSP-ic. (-O-) Modified additivity rule (MAR).

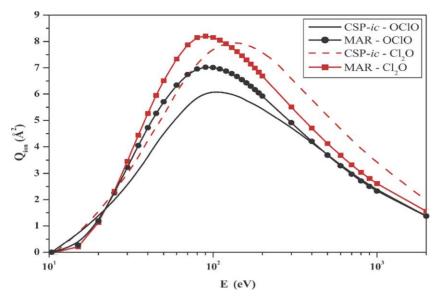


Fig. 5. Total ionization cross-sections for e–OClO and Cl<sub>2</sub>O scattering. (—) CSP-ic-OClO. (−●−) MAR-OClO. (−−−) CSP-ic-Cl<sub>2</sub>O. (−■−) MAR-Cl<sub>2</sub>O.

than that of OCIO because the Cl–O bond distance is larger for Cl<sub>2</sub>O and it also has 42 electrons compared to 33 electrons for OCIO. No previous experimental or theoretical results are known for these molecules. We also present the curve for the MAR cross-sections for OCIO and Cl<sub>2</sub>O. Both MAR results overestimate the respective cross-section in the lower and intermediate energies. At higher energies MAR is in good agreement with the present results for OCIO, while it is lower in the case of Cl<sub>2</sub>O. This may be due the small bond length in case of OCIO compared to the Cl<sub>2</sub>O.

In Fig. 6, we present  $Q_{\rm ion}$  for SF<sub>5</sub>CF<sub>3</sub>. SF<sub>5</sub>CF<sub>3</sub> is the strongest greenhouse gas yet found (being almost 20,000 more active than carbon dioxide) in the atmosphere and is currently the subject of extensive experimental investigations but at present there is no measurement of its ionization

cross-section. Since the structural properties of  $SF_5CF_3$  are not yet clear, we have used the modified additive rule to estimate  $Q_{\rm ion}$ . Once again this addition is likely to lead to an overestimate in the actual cross-section.

In Fig. 7, we present the total ionization cross-section for  $NO_3$  radical. As no previous results are available, we have also plotted the MAR cross-section of  $NO_3$ . This summed cross-section seems to overestimate  $Q_{ion}$  at lower energies, while giving similar results at higher energies. This is due to the similar ionization potentials of these species and because of the  $D_{3h}$  structure [24] of  $NO_3$  molecule ensures that the O atoms are spatially spread out allowing the additivity to be a better estimate at higher energies.

In our last Fig. 8, we have plotted  $Q_{ion}$  for  $N_2O_5$ .  $N_2O_5$  is a key sink compound in the stratosphere for nitric oxides

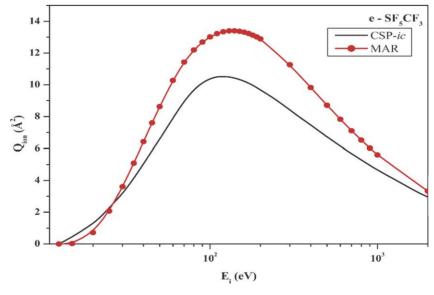


Fig. 6. Same as Fig. 4, but for e-SF<sub>5</sub>CF<sub>3</sub> scattering.

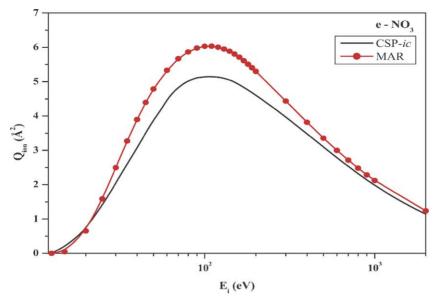


Fig. 7. Same as Fig. 4, but for e-NO<sub>3</sub> scattering.

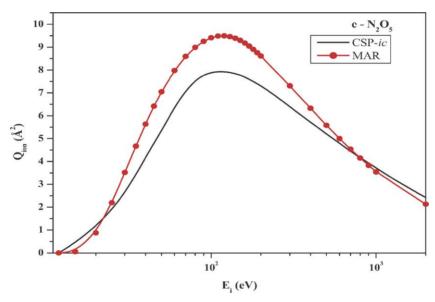


Fig. 8. Same as Fig. 4, but for e-N<sub>2</sub>O<sub>5</sub> scattering.

which are capable of initiating ozone loss. As there are no previous results are available for  $N_2O_5$ , we have also plotted the MAR cross-section of  $N_2O_5$ . As expected from discussions above this additivity overestimates the calculated  $N_2O_5$  cross-section.

## 4. Conclusions

A simple theoretical method called CSP-ic is used to evaluate total ionization cross-sections for several atmospheric gases. The results obtained for O<sub>2</sub>, NO<sub>2</sub> and N<sub>2</sub>O are in good agreement with BEB theory and other experiments. We have then presented the first estimates of the ionization cross-sections for the radicals ClO and NO<sub>3</sub>,

the stratospheric compounds OCIO,  $Cl_2O$  and  $N_2O_5$  and the greenhouse gas  $SF_5CF_3$  for which there are currently no theoretical or experimental results available. Using a MAR, we have also shown that ionization cross-sections for larger more complex polyatomics may be estimated albeit with the likelihood of overestimating the magnitude of the cross-section. It is to be hoped that these calculations will initiate further theoretical calculations and, where practical, experimental effort.

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